PTO/SB/21 (08-00) ₩₩ê twoe a plus sign (+) inside this box → + Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/852,207 **Application Number** TRANSMITTAL May 9, 2001 Filing Date FORM First Named Inventor Robert W. Balliett (to be used for all correspondence after initial filing) Group Art Unit 1754 N/A **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 6160-1P59B ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Copy of originally filed Terminal Disclaimer Submission of Declaration and Express Abandonment Request Powers of Attorney and Request for Refund **Assignment** Information Disclosure Statement CD, Number of CD(s) **Post Card** Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application TO TOO Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Kathryn E. Noll 48,811 Individual name Signature Date CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: October 22, 2001

Typed or printed name

Signature

Kathryn E. Noll

Date

Burden Hour Statement: This form is estimated to lake 0.2 hours to corplete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 inside this box --> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE be Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/852.207 **Application Number** TRANSMITTAL **Filing Date** May 9, 2001 **FORM** Robert W. Balliett **First Named Inventor** (to be used for all correspondence after initial filing) Group Art Unit 1754 N/A **Examiner Name Attorney Docket Number** 6160-1P59B Total Number of Pages in This Submission ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Proprietary Information After Final Petition to Convert to a ☐ Status Letter Affidavits/declaration(s) **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): DeclarationS(2 Terminal Disclaimer Power of Attorney Express Abandonment Request Request for Refund Post Card Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application PECETO EL TOO Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Kathryn E. Noll 48,811 Individual name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 24, 2001 Typed or printed name Kathryn E. Noll Date Signature

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Approve: through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark U.S. DEPARTMENT OF COMMERCE fork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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onm a 7 2000 F	First Named Inventor	Robert W. Balliett					
Patent fees age subject to annual revision.	Examiner Name	N/A	7 6				
R Section Sect	Group / Art Unit	1754					
TOTAL COMPANY PAYMENT (\$) 40	Attorney Docket No.	06160-1-P59B					
TOTAL CATALOG PAYMENT (\$) 40							

TOTAL CANALYSING PAYMENT (\$) 40				Attorney Docket No. 06160-1-P59B			0-1-P59B						
METHOD OF PAYMENT (check one))	FEE CALCULATION (continued)			ALCULATION (continued)				
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	posit count	03-2	2410 Orde	r No.:06160-1-P5	9B			Code	(\$)	Code	(\$)	•	Paid
	mber	"						105	130	205	65	Surcharge - late filing fee or oath	
_	••						 _	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
	posit count	Perl	kins, Smith	& Cohen				139	130	139	130	Non-English specification	
Nar							╛	147	2,520	147	2,520	For filing a request for reexamination	
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	Applicant	claims :	small entity					113	1,840*	113	1,840°	Requesting publication of SIR after Examiner action	
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Large								128	1,890	228	945	Extension for reply within fifth month	
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101	710	201		Utility filing fee			_	121	270	221	135	Request for oral hearing	
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Large	Entity			у				146	710	246	355	Filing a submission after final rejection	
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103	18	203	9									examined (37 CFR § 1.129(b))	
102	80	202	40					179	710	279	355	Request for Continued Examination (RCE)	
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SUBMITTED BY				Con	plete (if applicable)
Name (Print/Type)	Kathryn E. Noll	Registration No. Attorney/Agent)	48,811	Telephone	617-854-4000
Signature	Wathry,	E-Mall		Date	September 24, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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		Complete if Known				
	FEE TRANSMITTAL	Application Number	09/852,207			
1	10 · Fy 2001	Filing Date	May 9, 2001			
	JAN 17 2002 &	First Named Inventor	Robert W. Balliett			
	1	Examiner Name	N/A			
1		Group / Art Unit	1754			
1	RADENT (\$) 130	Attorney Docket No.	06160-1-P59B			

	METHOD OF	PAYMENT (check one)					FEE C	ALCULATION (continued)		
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1. KM	indicated fee	s and credit any over payme	ents to:	ı	Large Entity		Small Entity			
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Name				147	2,520	147	2,520	For filing a request for reexamination		1
	y Additional Fee CFR 1.16 and 1.			112	920*	112	920°	Requesting publication of SIR prior to Examiner action		
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Z. EXTITION OF ALL		Extra Fee from	Fee	122	130	122	130	Petitions to the Commissioner		
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103 18 102 80	203 9	Independent claims in ex	venes of 3	l				examined (37 CFR § 1.129(b))		
102 80	202 40	•		179	710	279	355	Request for Continued Examination (RCE)		
104 270	209 40	** Reissue independent	•	169	900	169	900	Request for expedited examination of a design application		
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or number previou	isiy paid, if greate	er; For Reissues, see above								j

SUBMITTED BY		Complete (if applicable)				
Name (Print/Type)	Kathryn E. Noll	Registration No. Attorney/Agent)	48,811	Telephone	617-854-4000	
Signature	Hathry	n E. 11 /vll		Date	October 22, 2001	

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Robert W. Balliett, et al.

Examiner: Unknown

Confirmation No.: 3853

Serial Number:

09/852,207

Art Group: 1754

Filed:

For:

May 9, 2001

Att'y Docket No.

06160-1P59B PRODUCTION OF PURE MOLYBDENUM OXIDE FROM

LOW GRADE MOLYBDENITE CONCENTRATES

CERTIFICATE OF MAILING

By

KATHRYNJE. NOLL

Reg. No. 48,811

Attorney for Applicant

Perkins, Smith & Cohen, LLP One Beacon Street, 30th Floor Boston, MA 02108-3106

MAR I SANGE CONTROL

To:

Assistant Commissioner of Patents

Washington, D.C. 20231

RESPONSE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated October 15, 2001, an original executed Declaration and Powers of Attorney were mailed via first class mail on September 24, 2001 prior to getting a Response to File Missing Parts from the United States Patent and Trademark. Therefore, enclosed please find copies of the Submission of Declaration, Powers of Attorney and Assignment which was mailed on September 24, 2001

submitted herewith the Response to File Missing Parts together with a copy of the Notice to File Missing Parts and a check for \$130.00 covering the required fee for the Response to File Missing Parts.

Please charge any underpayment of fees to or credit any overpayment of fees to Deposit Account No. 03-2410, order 6160-1P59B.

In accordance with Section 714.01 of the M.P.E.P., the following information is presented in the event that a call may be deemed desirable by the Examiner:

Please charge any underpayment of fees to or credit any overpayment of fees to Deposit Account No. 03-2410, order 06160-1P59B.

In accordance with Section 714.01 of the M.P.E.P., the following information is presented in the event that a call may be deemed desirable by the Examiner:

Kathryn E. Noll

(617) 854-4000

Respectfully submitted,

Robert W. Balliett, et al. Applicants

Dated: //ct 22, , 2001

Bv

KATHRYN E. NOLL

Reg. No. 48,811

Attorney for Applicants

Perkins, Smith & Cohen, LLP One Beacon Street Boston, MA 02108-3106

617 - 854 - 4000

UNITED STATES FARENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.uspfo.gov

APPLICATION NUMBER

09/852,207

FILING/RECEIPT DATE
FIRST NAMED APPLICANT
FIRST NAMED APPLICANT
ATTORNEY DOCKET NUMBER

09/852,207

05/89/2001

Robert W. Balliett

6160-1-P59B

26486
PERKINS, SMITH & COHEN LLP
ONE BEACON STREET
30TH FLOOR
BOSTON, MA 02108

CONFIRMATION NO. 3853

Date Mailed: 10/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration: Lawrence F. McHugh & Harry H. K. Nauta
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Atty Docket: 6160-1P59B Date: September 24, 2001 Applicant: Robert W. Balliett, et al. Group Art Unit: 1754 Serial No.: 09/852,207 Filed: May 9, 2001 Please date stamp this card and return it to us to indicate that you have received the following documents. 1. Transmittal Form (1 page); 2. Fee Transmittal (1 page; 2 copies); 3. Submission of Declaration, Power of Attorney and Assignment (2 pages); 4. Declaration**S**(Apages); 5. Power of Attorney (1 page); 6. Recordation Form COVEFRKINS, PSMITH & GOHEN, all P; 7. Assignment (2 pages); and 8. a check in the amount of \$40.00 (Ck# 020323) 9-25-01 D'DOCKETED on



STATES PATENT AND TRADEMARK OFFICE

Applicants:

Att'y Docket No.

Robert W. Balliett, et al.

09/852,207 Serial Number:

Filed:

For:

May 9, 2001

06160-1P59B

PRODUCTION OF PURE MOLYBDENUM OXIDE FROM

Examiner: Unknown

Confirmation No.: 3853

Art Group: 1754

LOW GRADE MOLYBDENITE CONCENTRATES

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on

Reg. No. 48,811

Attorney for Applicant

Perkins, Smith & Cohen, LLP One Beacon Street, 30th Floor Boston, MA 02108-3106

MAR I. 9 2002 CO

To:

Assistant Commissioner of Patents

Washington, D.C. 20231

SUBMISSION OF DECLARATION, POWEROF ATTORNEY AND ASSIGNMENT

Sir:

Enclosed please find a signed declaration, power of attorney, and assignment for Lawrence McHugh and a signed declaration for Harry H.K. Nauta for the aboveidentified application. The other five inventors have already signed the declaration submitted with the initial filing of this application. It was only recently discovered that Lawrence McHugh and Harry H.K. Nauta had not signed the enclosed documents.

Please charge any underpayment of fees to or credit any overpayment of fees to Deposit Account No. 03-2410, order 06160-1P59B.

In accordance with Section 714.01 of the M.P.E.P., the following information is presented in the event that a call may be deemed desirable by the Examiner:

Kathryn E. Noll

(617) 854-4000

Respectfully submitted,

Robert W. Balliett, et al. Applicants

Dated: Sept.24, 2001

By:

KATHRYN E. NOLL

Reg. No. 48,811

Attorney for Applicants

Perkins, Smith & Cohen, LLP One Beacon Street

Boston, MA 02108-3106

617 - 854 - 4000